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Date of Deposit: October 17, 2003

Attorney Docket No.: 22435-004

OCT 17 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Wei Gao  
SERIAL NUMBER : 09/874,501 EXAMINER : James A. Menefee  
FILING DATE : June 5, 2001 ART UNIT : 2828  
FOR : POWERPACK LASER DIODE ASSEMBLIES

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page), in duplicate;
3. Copies of Cited References: B1-2; C1-5; and
4. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 22435-004. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Brett N. Dofny

Brett N. Dofny, Reg. No. 35860

Attorney for Applicant

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.

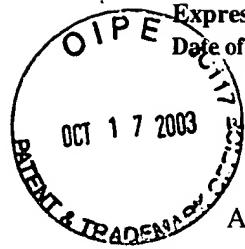
Address all written correspondence to

Tel: (617) 542-6000

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October 17, 2003

TRA 1843366v1



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APPLICANTS : Wei Gao  
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Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed within three months of the mailing date of the International Search Report for PCT/us01/18686 that corresponds to the above-identified application. Accordingly, no fee or certification is believed due.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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APPLICANTS: **Wei Gao**  
U.S.S.N.: **09/874,501**

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No.: 22435-004.

Respectfully submitted,

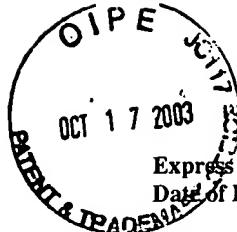


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Dated: October 17, 2003

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Date of Deposit: October 17, 2003

Page 1 of 1  
Attorney Docket No.: 22435-004

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Modified Form 1449/PTO		Application Number	09/874,501
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Filing Date	June 5, 2001
		First Named Inventor	Wei Gao
		Group Art Unit	2828
		Examiner Name	James A. Menefee
		Attorney Docket Number	22435-004

**U.S. PATENT DOCUMENTS**

Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

**U.S. PUBLISHED APPLICATION DOCUMENTS**

Exam Initials	Cite No.	U.S. Published Application No.	Published Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

**FOREIGN PATENT DOCUMENTS**

Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	B1	EP 0 961 372 A1	SIEMENS AKTIENGESELLSCHAFT	12/01/99	<input checked="" type="checkbox"/>
	B2	EP 1 079 484 A2	MITSUI CHEMICALS, INC.	02/28/01	<input checked="" type="checkbox"/>

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1	Lee, et al., "The Effect of Bonding Wires on Longitudinal Temperature Profiles of Laser Diodes", <i>Journal of Lightwave Technology</i> , 14(8): 1847-1852 (1996).
	C2	Grabherr, et al., "Bottom-Emitting VCSEL's for High-CW Optical Output Power", <i>IEEE Photonics Technology Letters</i> , 10(8): 1061-1063 (1998).
	C3	Patent Abstracts of Japan, Furukawa Electric Co. Ltd., Abstract of JP 09-138326, 1997.
	C4	Patent Abstracts of Japan, NEC Corp., Abstract of JP 02-164089, 1990.
	C5	International Search Report for PCT/US01/18686 mailed on July 17, 2003.

A copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.